

Regulation, Audit and Accounts Committee – Work Programme 2023/24

Committee Date:- 17 July 2023

Item	Lead Officer	Objectives and comments
Quarterly Treasury Management Report	Vicky Chuter	<ul style="list-style-type: none"> Treasury Management Compliance Update - Quarterly Report on compliance and performance against the Treasury Management Strategy and planned parameters.
Quarterly Risk Management Report	Fraser Pake	<ul style="list-style-type: none"> To receive quarterly update report on the effectiveness of risk management arrangements.
Annual Internal Audit Report & Opinion	Neil Pitman	<ul style="list-style-type: none"> To approve the internal annual report and opinion on the organisation of framework of risk, internal control and governance for 2022/23
Internal Audit Progress Report	Neil Pitman	<ul style="list-style-type: none"> To receive a progress update against the audit plan, outstanding management actions, audit performance and any significant issues arising from internal audit reviews
Internal Audit Plan (Q2)	Neil Pitman	<ul style="list-style-type: none"> To consider the Internal Audit Plan for 2023/24 (Q2)
Internal Fraud Plan 2023/24	Neil Pitman	<ul style="list-style-type: none"> To approve the Fraud Plan for 2023/24
Annual Governance Statement	Charles Gauntlett	<ul style="list-style-type: none"> To provide an update on progress against the action plan To consider the draft Annual Governance Statement for 2022/23
Anti-Fraud and Corruption Strategy	Vicky Chuter	<ul style="list-style-type: none"> To approve the updated Anti-Fraud and Corruption strategy
Anti-Money Laundering Policy	Vicky Chuter	<ul style="list-style-type: none"> To approve the updated Anti-Money Laundering policy
Financial Regulations	Vicky Chuter	<ul style="list-style-type: none"> To approve the updated Financial Regulations
External Audit	External Auditor	<ul style="list-style-type: none"> EY to present 2022/23 audit planning reports.

Committee Date:- 21 September 2023

Item	Lead Officer	Objectives and comments
Financial Statements 2022/23	Vicky Chuter	<ul style="list-style-type: none"> To approve the statement of accounts for the County Council and Pension Fund.
Annual Governance Statement	Charles Gauntlett	<ul style="list-style-type: none"> To approve the Annual Governance Statement for 2022/23 and agree action plan.
Quarterly Risk Management Report	Fraser Pake	<ul style="list-style-type: none"> To receive quarterly update report on the effectiveness of risk management arrangements.
Internal Audit Progress Report	Neil Pitman	<ul style="list-style-type: none"> To receive a progress update against the audit plan, outstanding management actions, audit performance and any significant issues arising from internal audit reviews
Internal Audit Plan (Q3)	Neil Pitman	<ul style="list-style-type: none"> To consider the Internal Audit Plan for 2023/24 (Q3)
External Audit	External Auditor	<ul style="list-style-type: none"> EY to present <ul style="list-style-type: none"> 2022/23 West Sussex County Council Audit Results Report 2022/23 West Sussex Pension Fund Audit Results Report 2022/23 Draft West Sussex County Council Auditor's Annual Report

Committee Date:- 6 November 2023

Item

November meeting will be utilised if required under advisement from the Chairman.

Committee Date:- 15 January 2024

Item	Lead Officer	Objectives and comments
Quarterly Risk Management Report	Fraser Pake	<ul style="list-style-type: none">• To receive quarterly update report on the effectiveness of risk management arrangements.
Internal Audit Progress Report	Neil Pitman	<ul style="list-style-type: none">• To receive a progress update against the audit plan, outstanding management actions, audit performance and any significant issues arising from internal audit reviews
External Audit Report	External Auditor	<ul style="list-style-type: none">• Progress report
Quarterly Treasury Management Report	Vicky Chuter	<ul style="list-style-type: none">• Treasury Management Compliance Update - Quarterly Report on compliance and performance against the Treasury Management Strategy and planned parameters.

Committee Date:- 18 March 2024

Item	Lead Officer	Objectives and comments
Financial Statements 2023/24 - Plans and Progress	Vicky Chuter	<ul style="list-style-type: none"> To update on progress to date for closing the 2023/24 accounts for West Sussex County Council and the West Sussex Pension Fund.
Quarterly Risk Management Report	Fraser Pake	<ul style="list-style-type: none"> To receive quarterly update report on the effectiveness of risk management arrangements.
Internal Audit Progress Report	Neil Pitman	<ul style="list-style-type: none"> To receive a progress update against the audit plan, outstanding management actions, audit performance and any significant issues arising from internal audit reviews
Internal Audit Plan 2024/25	Neil Pitman	<ul style="list-style-type: none"> To consider the Internal Audit Plan 2024/25 (Q1) and Fraud Plan for 2024/25
Internal Audit Charter 2024/25	Neil Pitman	<ul style="list-style-type: none"> To consider the Internal Audit Charter 2024/25
External Audit Report	External Auditor	<ul style="list-style-type: none"> Progress report
Annual Governance Statement Update	Charles Gauntlett	<ul style="list-style-type: none"> To provide an update on progress against the action plan and to consider the draft 2023/24 Statement.
Work Programme 2024/25	Adam Chisnall	<ul style="list-style-type: none"> To agree the work programme for the following year.